Seminar Series on Graph Neural Networks 07 Explainable graph neural networks

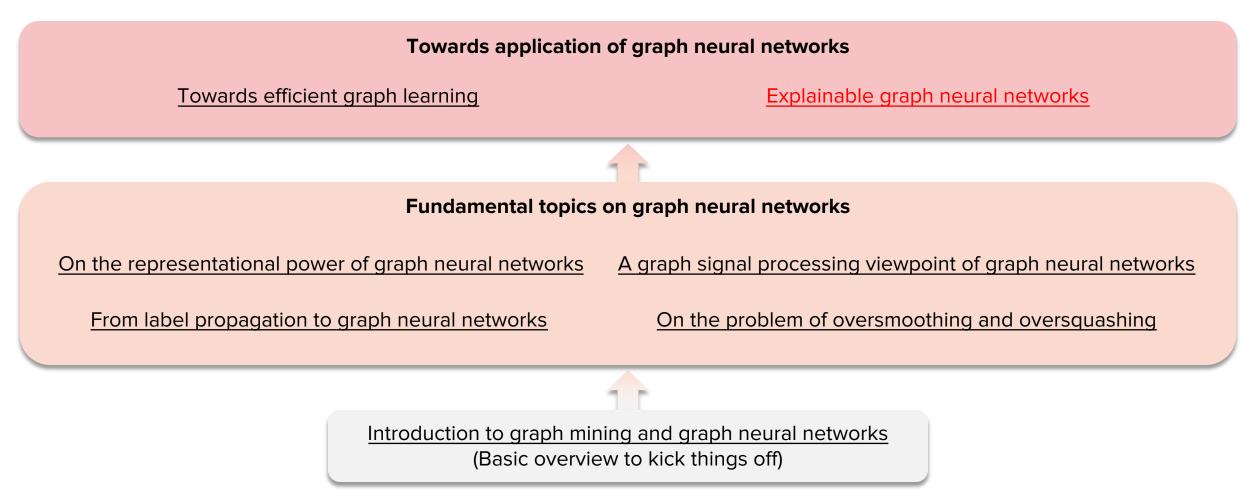
Yong-Min Shin School of Mathematics and Computing (Computational Science and Engineering) Yonsei University 2025.05.26







Before going in....





* Presentation slides are available at: (jordan7186.github.io/presentations/)



- 1. Understanding general concept of explainable AI: **Why** & **How**?
- 2. A general understanding of explainable AI in graph learning
- 3. Subtopic: Explaining GNNs with attention

Understanding the concepts of explainable AI

The early 'why' part was based on the content from Samek & Müller: Towards Explainable Artificial Intelligence. Explainable AI 2019: 5-22

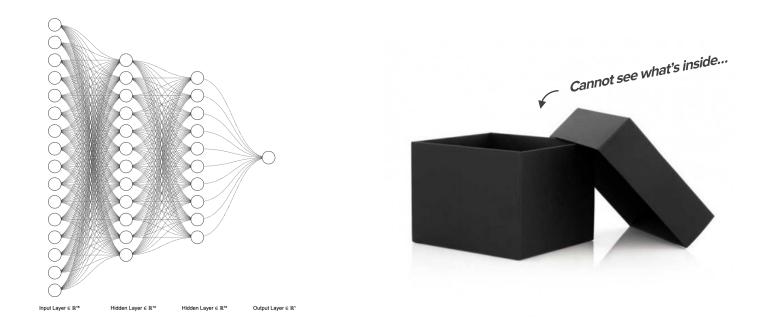
Why is interpretation an important question?

Generally, neural-network models are considered as 'black-box' models

1. Model weights are difficult to understand by humans.

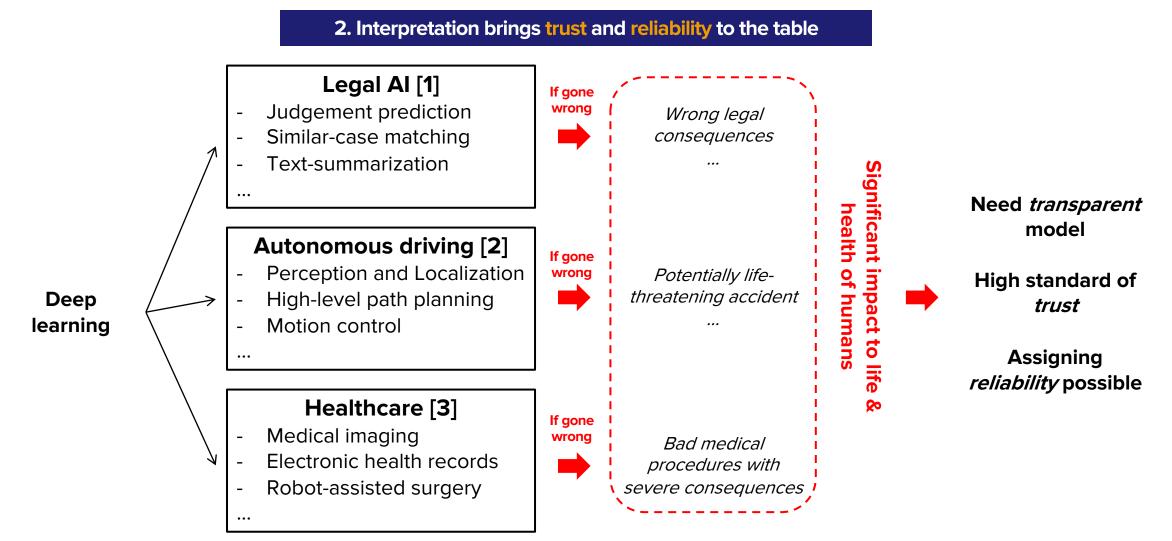
[1]: *"...due to their nested non-linear structure, these powerful models have been generally considered "black boxes"."*

[2]: *"These rules* [model weights], because they're generated by the algorithm, can run counter to human intuition and be difficult, if not impossible, to decipher"



Why is interpretation an important question?

XAI becomes more critical in serious applications.



Zhong, Haoxi, Chaojun Xiao, Cunchao Tu, Tianyang Zhang, Zhiyuan Liu, and Maosong Sun. "How Does NLP Benefit Legal System: A Summary of Legal Artificial Intelligence." arXiv preprint arXiv:2004.12158 (2020).
 Grigorescu, Sorin, Bogdan Trasnea, Tiberiu Cocias, and Gigel Macesanu. "A survey of deep learning techniques for autonomous driving." Journal of Field Robotics 37, no. 3 (2020): 362-386.
 Esteva, Andre, Alexandre Robicquet, Bharath Ramsundar, Volodymyr Kuleshov, Mark DePristo, Katherine Chou, Claire Cui, Greg Corrado, Sebastian Thrun, and Jeff Dean. "A guide to deep learning in healthcare." Nature medicine 25, no. 1 (2019): 24-29.

Why is interpretation an important question?

Model explanation as model debugging

3. We can identify when the model is correct for the wrong reasons.



Image source: https://simple.wikipedia.org/wiki/Clever_Hans#/media/File:CleverHans.jpg





Original Image

Standard LRP

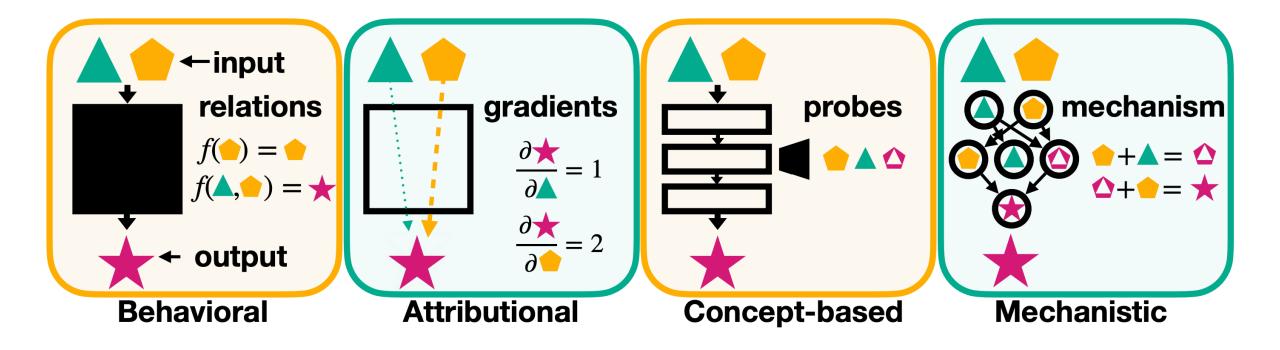
An example of the clever hans effect of a trained model [2]

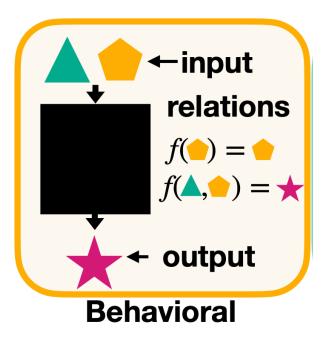
Avoiding 'Clever Hans' predictions

- Some models are later found that the models <u>did not make the</u> <u>predictions for the right reasons</u>, although their performance has reached SOTA [1].
- Increasing explanability helps to unmask these undesired properties, and potentially guide us to understand the weakness of the model.

[1] Lapuschkin, Sebastian, Stephan Wäldchen, Alexander Binder, Grégoire Montavon, Wojciech Samek, and Klaus-Robert Müller. "Unmasking clever hans predictors and assessing what machines really learn." Nature communications 10, no. 1 (2019): 1-8.

[2] Kirill Bykov, Marina M.-C. Höhne, Klaus-Robert Müller, Shinichi Nakajima, Marius Kloft. "How Much Can I Trust You? - Quantifying Uncertainties in Explaining Neural Networks." arXiv prepring abs/2006.09000 (2020)



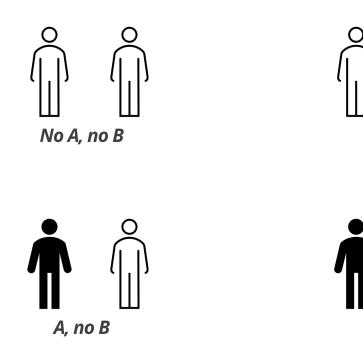


Example: Shapley value-based explanations

- Only interested in input-output relations
- Treats the model as a complete black-box
- Main limitation: Exponential computation & How to express the absence of a feature?
 - Many approaches are designed to approximate this
 - ex. KernelSHAP

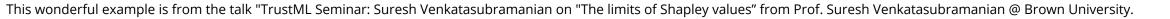
The rules & setting of selling gloves

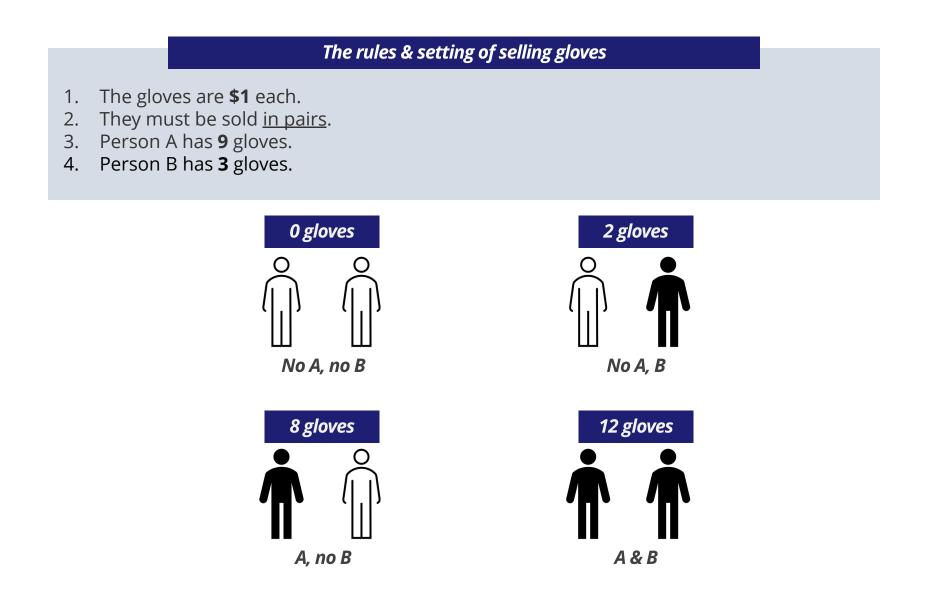
- 1. The gloves are **\$1** each.
- 2. They must be sold in pairs.
- 3. Person A has **9** gloves.
- 4. Person B has **3** gloves.

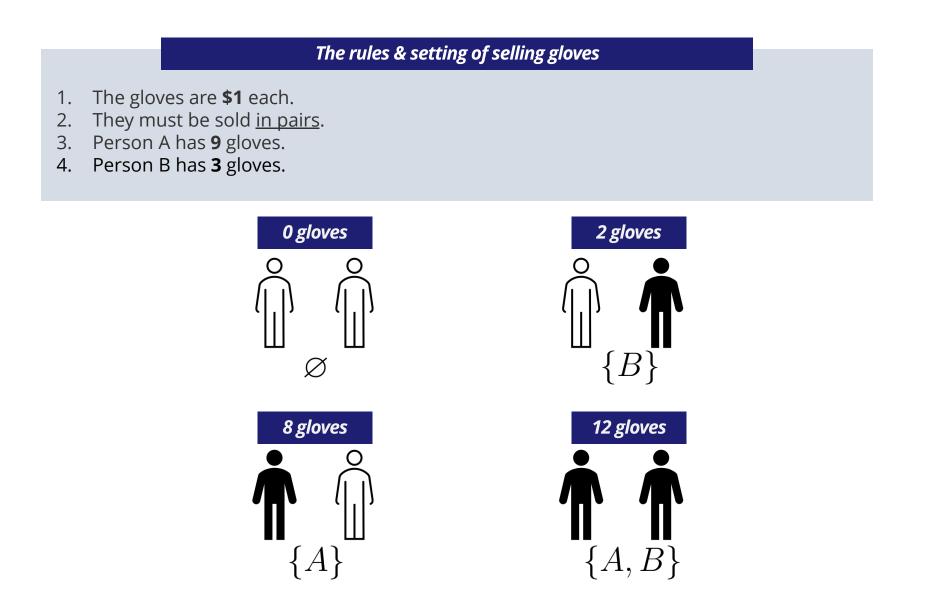


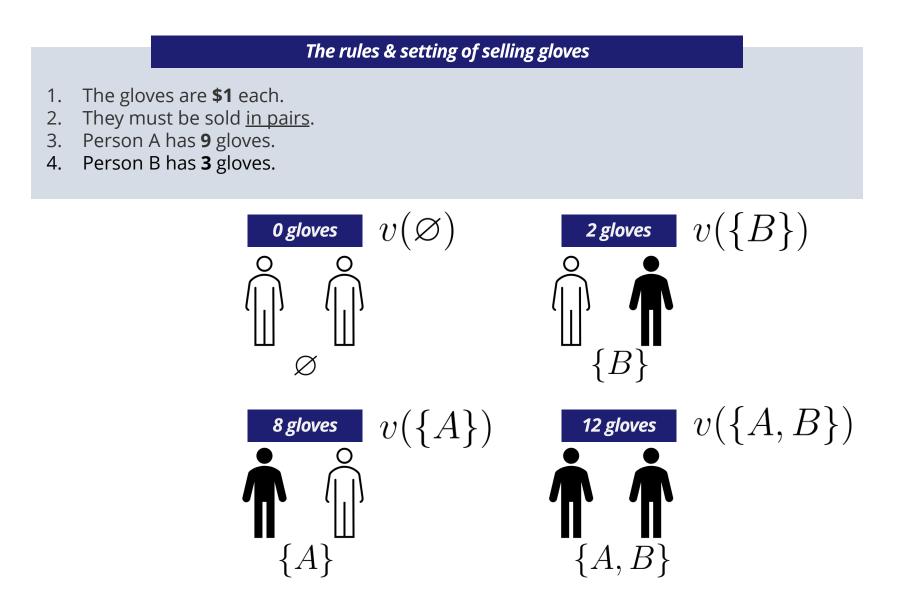
No A, B

A & B



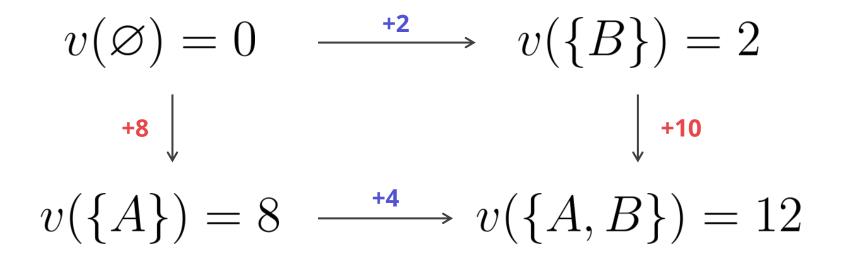


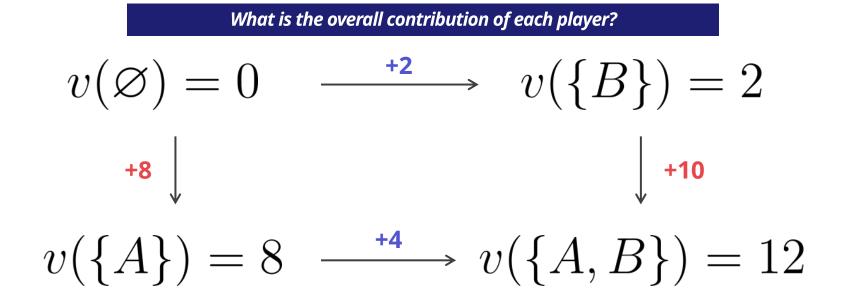




The rules & setting of selling gloves

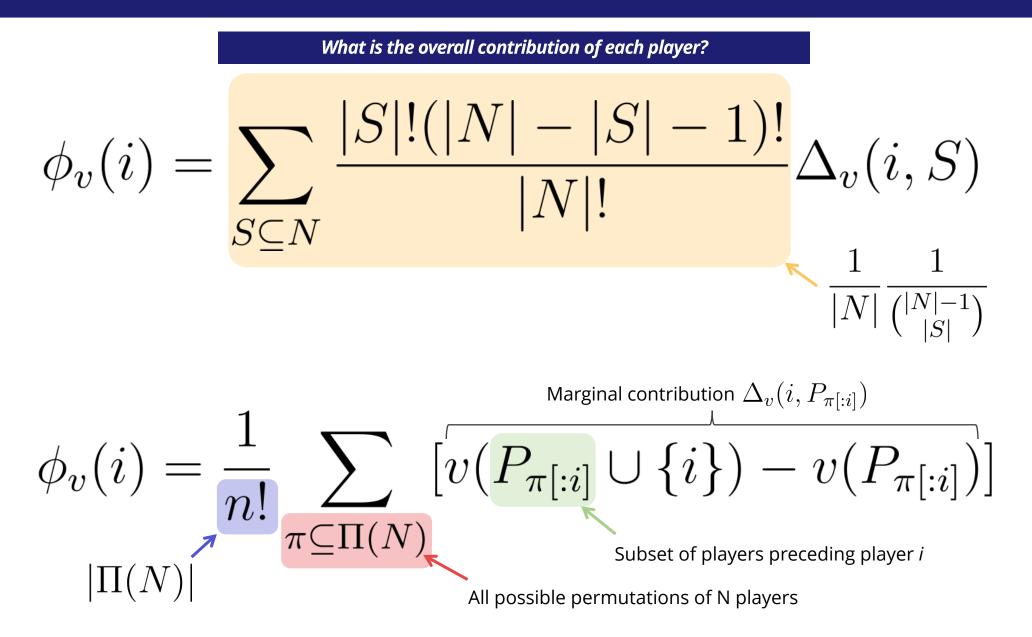
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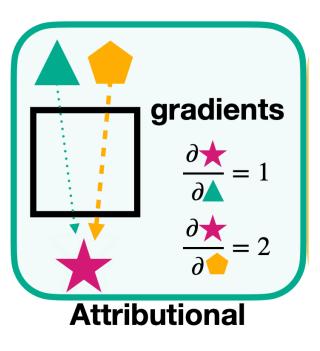


- As an example, concentrate on A.
- A contributes +8 when there are no one.
- A contributes **+10** when there is B.
- How do we determine A's contribution overall?
- Take the average for all cases.

What is the overall contribution of each player?



Some material from the slides from "Tony" Runzhe Yang, "Shapley values, attention flows, and faithful explanations"



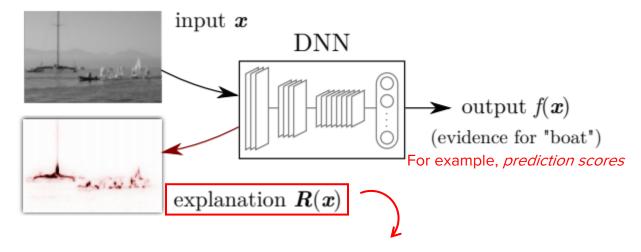
- Most XAI works (especially early works) fall into this category.
- "How much can we attribute the output back to the input?"
- Tend to be highly heuristic (exceptions include Integrated Gradients & Deep Taylor Decomposition)
 - Lot of "Sanity check" work exposes this limitation (Refer to, for example, [2])
- For graphs, GNNExplainer-types belongs to this category
 - How much of the explanation generated from the XAI method is from the model vs. from the XAI method? (see [3] for similar argument)

[1] Bereska & Gavves, "Mechanistic interpretability or Al safety: A review", arXiv 2024 [2] Adebayo et al., "Sanity Checks for Saliency Maps", NeurIPS 2018 (+2000 citations)

[3] Miao et al., "Interpretable and generalizable graph learning via stochastic attention mechanism", ICML 2022

Sensitivity analysis (SA) [1]

Consider a neural network where the input is an image and the task is image classification.



We can generate an "explanation" of the prediction as a form of heatmap.

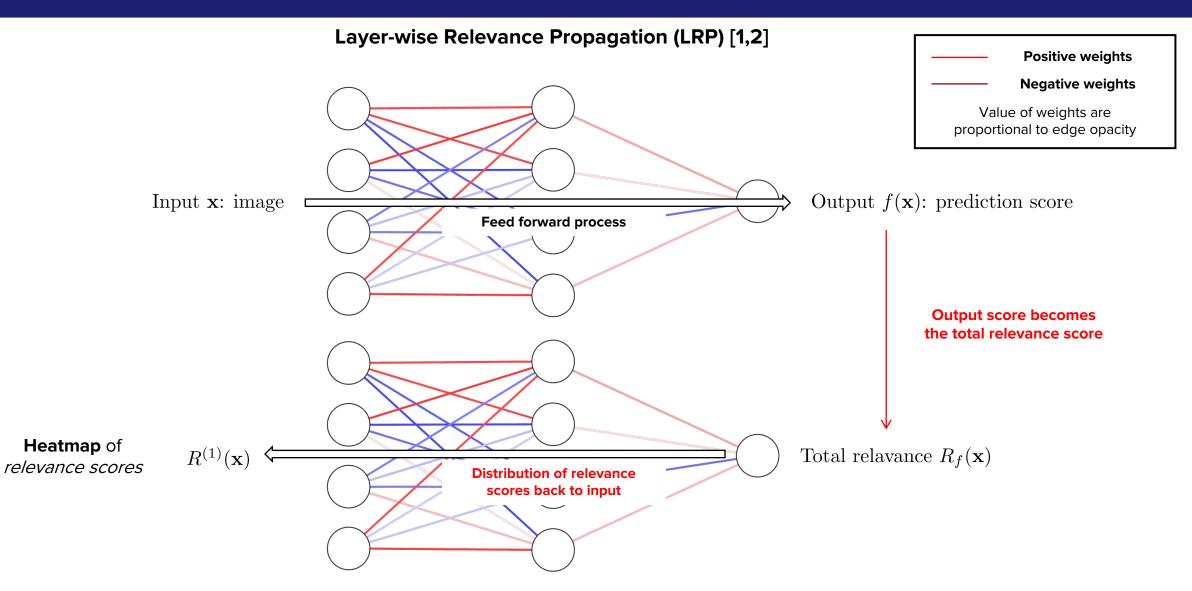
In sensitivity analysis, the pixel-wise value of the heatmap is the derivative of the score with respect to the image.

$$R_i(\mathbf{x}) = (\frac{\partial f}{\partial x_i})^2$$

- Note that this is easily acquired via back-propagation via modern machine learning libraries
- Also, SA provides explanation of the *variation* of the function, not the function itself [2].
- Known to be vulnerable to 'shattered gradient' [3], where the gradients in standard feedforward networks increasingly resemble *white noise*.

Montavon, Grégoire, Wojciech Samek, and Klaus-Robert Müller. "Methods for interpreting and understanding deep neural networks." Digital Signal Processing 73 (2018): 1-15. (The image is also from the paper.)
 Wkjciech Samek, Gregoire Montavon, and Klaus-Robert Müller. "Tutorial on Interpreting and Explaining Deep Models in Computer Vision". In CVPR 2018.

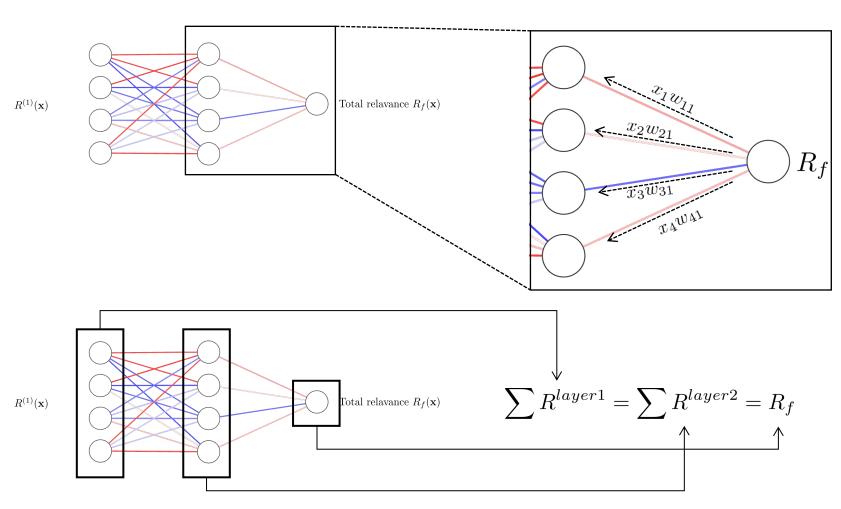
[3] Balduzzi, David, Marcus Frean, Lennox Leary, J. P. Lewis, Kurt Wan-Duo Ma, and Brian McWilliams. "The shattered gradients problem: If resnets are the answer, then what is the question?." arXiv preprint arXiv:1702.08591 (2017).

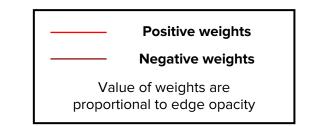


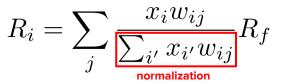
[1] Bach, Sebastian, Alexander Binder, Grégoire Montavon, Frederick Klauschen, Klaus-Robert Müller, and Wojciech Samek. "On pixel-wise explanations for non-linear classifier decisions by layer-wise relevance propagation." PloS one 10, no. 7 (2015): e0130140.

[2] Binder, Alexander, Sebastian Bach, Gregoire Montavon, Klaus-Robert Müller, and Wojciech Samek. "Layer-wise relevance propagation for deep neural network architectures." In Information Science and Applications (ICISA) 2016, pp. 913-922. Springer, Singapore, 2016.

Layer-wise Relevance Propagation (LRP) [1,2]







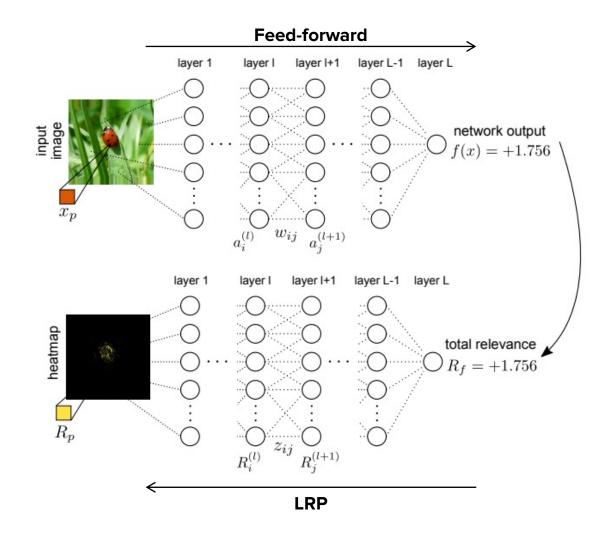
The relevance scores are distributed *proportional to the neurons' activation during feedforwarding*.

As a result, the total sum of relevance scores are preserved for all layers.

[1] Bach, Sebastian, Alexander Binder, Grégoire Montavon, Frederick Klauschen, Klaus-Robert Müller, and Wojciech Samek. "On pixel-wise explanations for non-linear classifier decisions by layer-wise relevance propagation." PloS one 10, no. 7 (2015): e0130140.

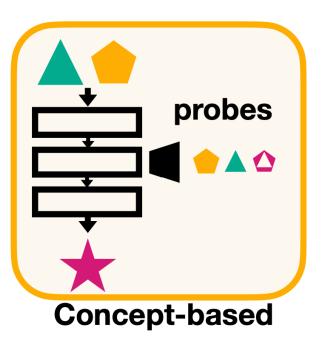
[2] Binder, Alexander, Sebastian Bach, Gregoire Montavon, Klaus-Robert Müller, and Wojciech Samek. "Layer-wise relevance propagation for deep neural network architectures." In Information Science and Applications (ICISA) 2016, pp. 913-922. Springer, Singapore, 2016.

Layer-wise Relevance Propagation (LRP) [1,2]



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- Learns a method to extract explainable information from the internal representations
- Works include learning a probe with some unsupervised loss
- For graphs, PAGE [2] also directly utilizes the node & graph level representations.
- However, the concept-based method cannot escape the previous criticism: Does it really explain the model? How much is the explanation from the explanation method itself?

[1] Bereska & Gavves, "Mechanistic interpretability or Al safety: A review", arXiv 2024 [2] Shin et al., "PAGE: Prototype-based model-level explanations for graph neural networks", PAMI (2024)

A general overview on the types of XAI methods [1]

Hypothesis of Mechanistic Interpretability

- Models learn human-comprehensible algorithms and can be understood.
- They are not comprehensible by default, and we need to do some work to make it legible.
- A (relatively new) sub-field of interpretability
- Mechantistic interpretability is done by...
 - **Rigorous (and almost surgical) observations** of the model 'without tricking ourselves'
 - Most works are case studies, and does not know what it would find at the start of the investigation. Most discoveries is the authors 'noticing common trends'
 - Since they are case studies, Transformers [2] are typically the model of interest
- Goal: Reverse engineer neural networks (Analogy: Binary of a program \rightarrow Source code? [3])

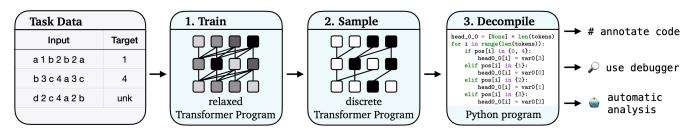


Figure 1: We design a modified Transformer that can be trained on data and then automatically discretized and converted into a human-readable program. The program is functionally identifical to the Transformer, but easier to understand—for example, using an off-the-shelf Python debugger.

[1] Bereska & Gavves, "Mechanistic interpretability or Al safety: A review", arXiv 2024

mechanism

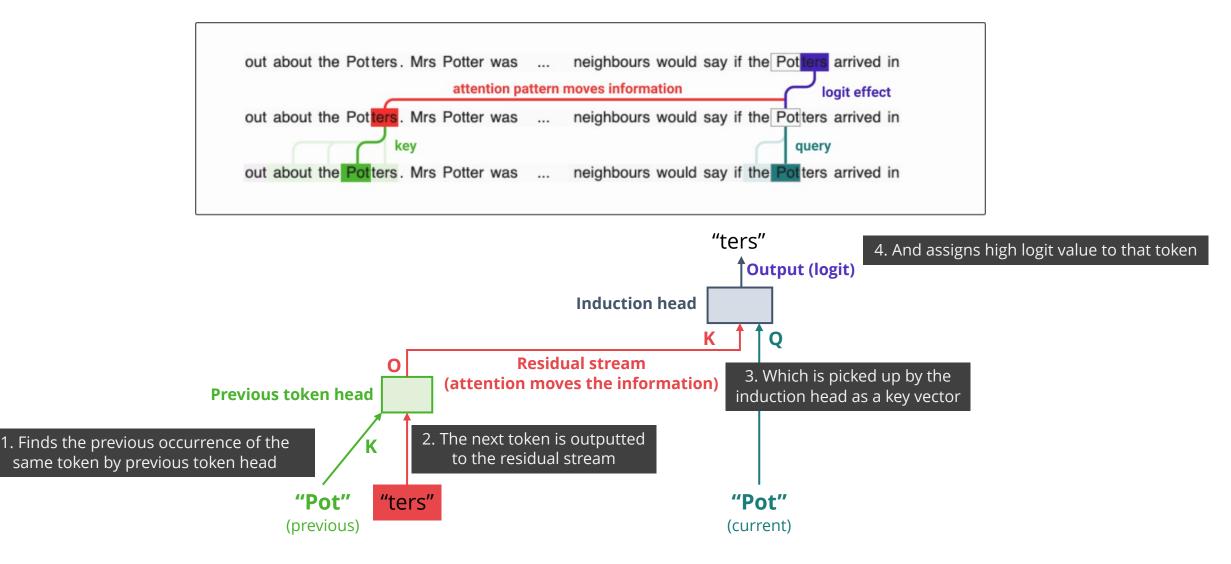
[2] Vaswani et al., "Attention is all you need", NeurIPS 2017

Mechanistic

(Bottom right figure) [3] Friedman et al., "Learning transformer programs", NeurIPS 2024 (Oral)

*A bulk of the content of this slide is from Neel Nanda's talk "Open Problems in Mechanistic Interpretability: A Whirlwind Tour | Neel Nanda | EAGxVirtual 2023" on Youtube.

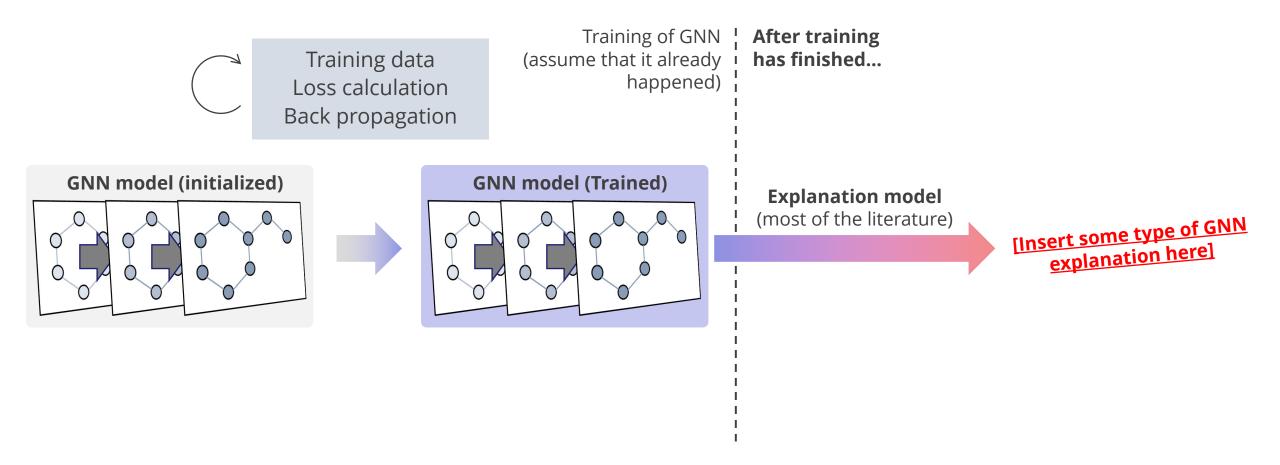
*The most famous discovery in Mech. Interp.: Induction heads [1]



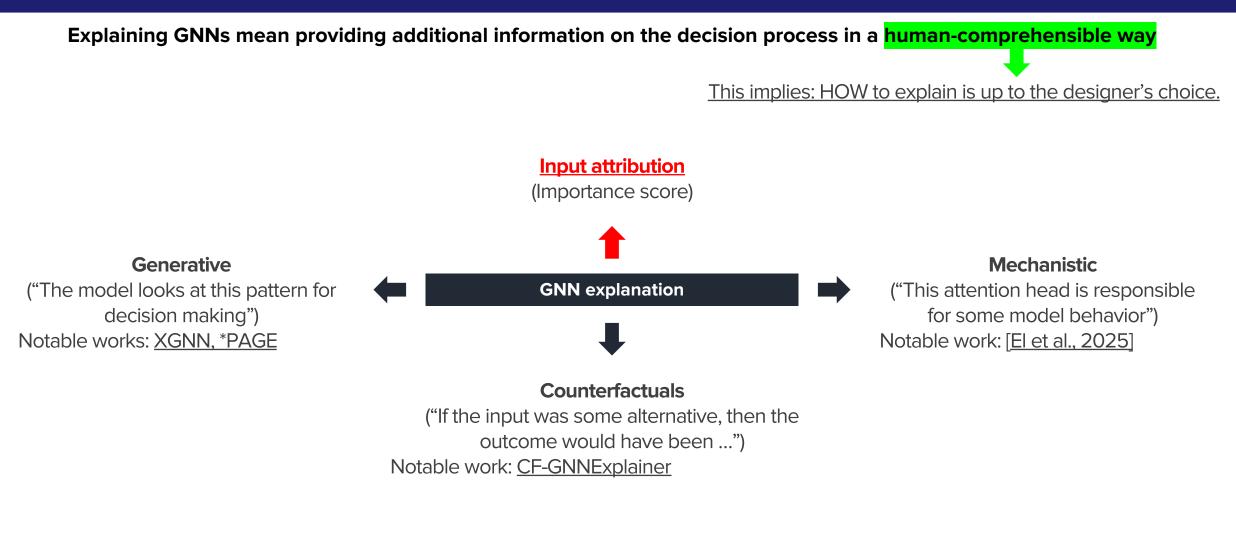
An expanded introduction to explaining graph learning

What does it mean to explain GNN models?

Basic scenario: <u>When</u> to explain? (*Post-hoc explanations)



What does it mean to explain GNN models?



And of course, there may be others...

El et al., Towards Mechanistic Interpretability of Graph Transformers via Attention Graphs, arXiv 2025 *Shin et al., PAGE: Prototype-Based Model-Level Explanations for Graph Neural Networks, TPAMI (2024)

Extension: Input attribution of GNN models

What does it mean to explain GNN models via assigning importance scores?

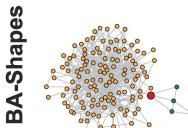
Attribution maps are one of the most popular ways, especially in CV and NLP.

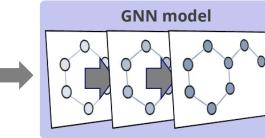
DTD [Montavon 2017], LRP [Bach 2018], GradCAM [Selvaraju 2017], ...

Similar approaches are also popular in **GNN explanations**, too.

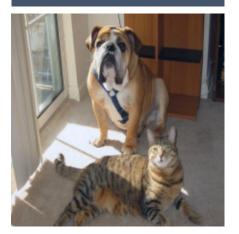
GNNExplainer [Ying 2019], PGExplainer [Luo 2020], *FastDnX [Pereira 2023]...

Computation graph





Node class prediction

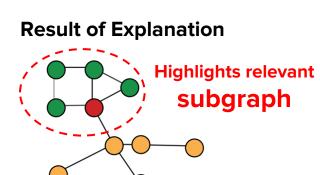


Input image to ResNet

Output: "Cat"

Result of GradCAM





*DnX/FastDnX is more closer to surrogate-based explanations, but it nevertheless produces attribution scores so we will keep it here

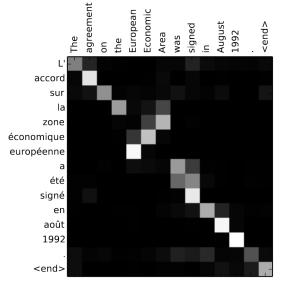
Sub-topic: Can we use attention to explain GNNs?

(Shin et al., Faithful and Accurate Self-Attention Attribution for Message Passing Neural Networks via the Computation Tree Viewpoint, AAAI'25)

Motivation: Un-answered question of attention in the GNN literature

Attention as an explanation has been extensively studied in the CV & NLP literature,

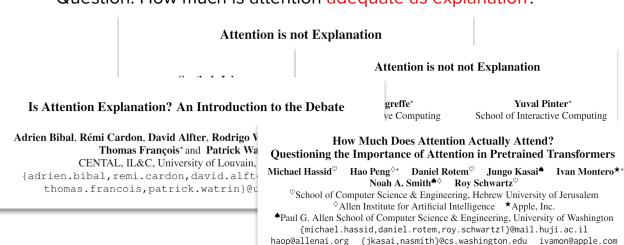
due to their natural interpretation and the universial usage of transformers.



(Bahdanau et al., 2015)



(Chefer et al., 2021)



Question. How to generate better attention heatmaps in transformers?

Quantifying Attention Flow in Transformers



Question. How much is attention adequate as explanation?

Chefer et al., "Transformer interpretability beyond attention visualization", CVPR 2021 Bahdanau et al., Neural machine translation by jointly learning to align and translate, ICLR 2015

Motivation: Un-answered question of attention in the GNN literature

Attention as an explanation also has the natural appeal of being a white-box method, since we just need to post-process the attention weights

1. Acquire attention weights from the pre-trained model

2. Simply apply further calculations

Quantifying Attention	n Flow in Transformers	Generic Attention-model Explainability for Interpreting Bi-Modal and Encoder-Decoder Transformers	Transformer Interpretability Beyond Attention Visualization			
Samira Abnar ILLC, University of Amsterdam s.abnar@uva.nl	Willem Zuidema ILLC, University of Amsterdam w.h.zuidema@uva.nl	Hila Chefer ¹ Shir Gur ¹ Lior Wolf ^{1,2} ¹ The School of Computer Science, Tel Aviv University ² Facebook AI Research (FAIR)	Hila Chefer ¹ Shir Gur ¹ Lior Wolf ^{1,2} ¹ The School of Computer Science, Tel Aviv University ² Facebook AI Research (FAIR)			
$\mathbf{\hat{A}}^{(b)} = I + \mathbb{E}$ rollout = $\mathbf{\hat{A}}^{(1)}$.	$\hat{\mathbf{A}}_h \mathbf{A}^{(b)} \\ \cdot \hat{\mathbf{A}}^{(2)} \cdot \ldots \cdot \hat{\mathbf{A}}^{(B)}$	$ar{\mathbf{A}} = \mathbb{E}_h((abla \mathbf{A} \odot \mathbf{R}^{\mathbf{A}})^+)$	$ar{\mathbf{A}}^{(b)} = I + \mathbb{E}_h (abla \mathbf{A}^{(b)} \odot R^{(n_b)})^+$ $\mathbf{C} = ar{\mathbf{A}}^{(1)} \cdot ar{\mathbf{A}}^{(2)} \cdot \ldots \cdot ar{\mathbf{A}}^{(B)}$			

Note that all calculations are explicit, interpretable, and computed deterministically.

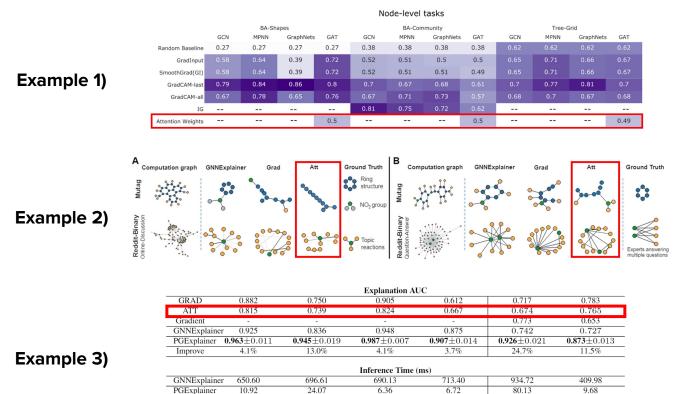
Question: Is there any similar work for graph attention network type models?

Core question

Q1. Are attention explanations for attention-based GNNs?

Q2. What methods have been developed to produce better attribution from attention in GNNs?

...Both questions are not properly answerable, since attention-based GNN models were only used as a naïve baseline in the literature.



29x

106x

108x

12x

GNN-XAI evaluation (Sanchez-Lengeling et al., 2020)

"...have several blocks and attention heads, so for each component we take their average to combine them to a scalar value assigned to each edge."

GNNExplainer (Ying et al., NeurIPS 2019)

"...it is not obvious which attention weights need to be used for edge importance, Each edge's importance is thus computed as the average attention weight across all layers."

PGExplainer (Luo et al., NeurIPS 2020)

"Each edge's importance is obtained by averaging its attention weights across all attention layers."

Problem: Can we calculate a more faithful and accurate explanation using attention weights from graph attention network types?

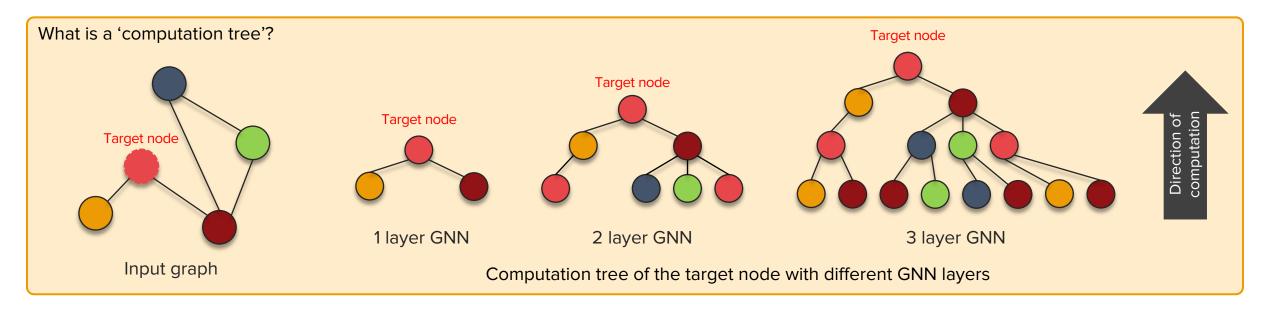
42x

Sanchez-Lengeling et al., "Evaluating Attribution for Graph Neural Networks," NeurIPS 2020 Ying et al., "GNNExplainer: Generating Explanations for Graph Neural Networks," NeurIPS 2019 Luo et al., "Parameterized Explainer for Graph Neural Network", NeurIPS 2020

59x

Speed-up

We found that attention weights reliably represent edge importance after post-calculations based on the computation tree.

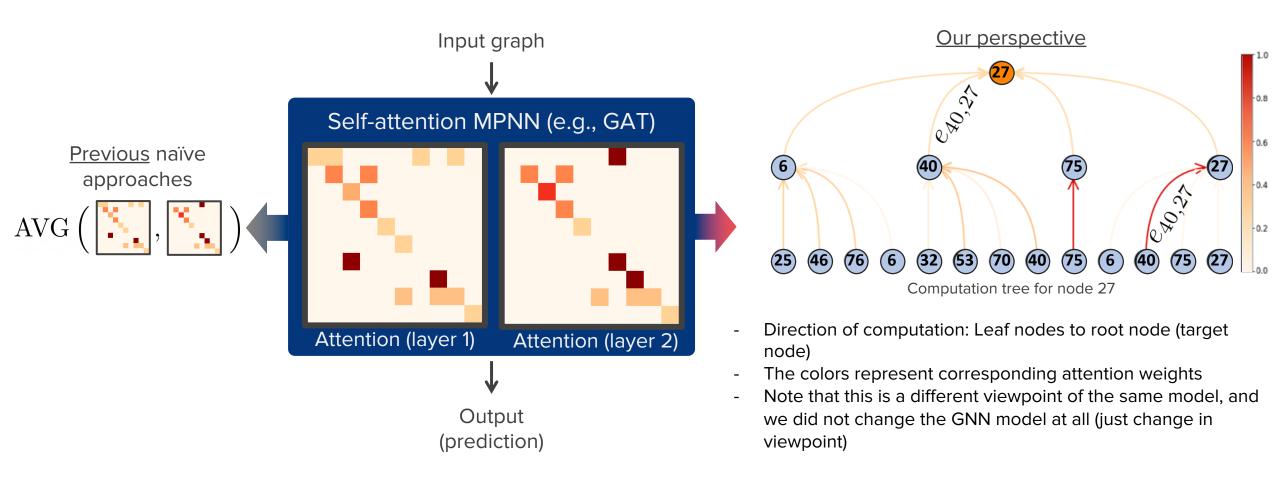


Representation of node u
$$\mathbf{h}_u = \phi \left(\mathbf{x}_u, \bigoplus_{v \in \mathcal{N}_u} \psi(\mathbf{x}_u, \mathbf{x}_v) \right)$$

- \oplus : Permutation invariant operator (e.g., sum)
- φ: Combine function (e.g., small neural network)
- ψ : Message function (e.g., scaling function)
- \mathcal{N}_{u} : Set of neighbors of node u

• Due to the aggregation-based design of GNNs, it is often beneficial to visualize how the information flows as a computation tree.

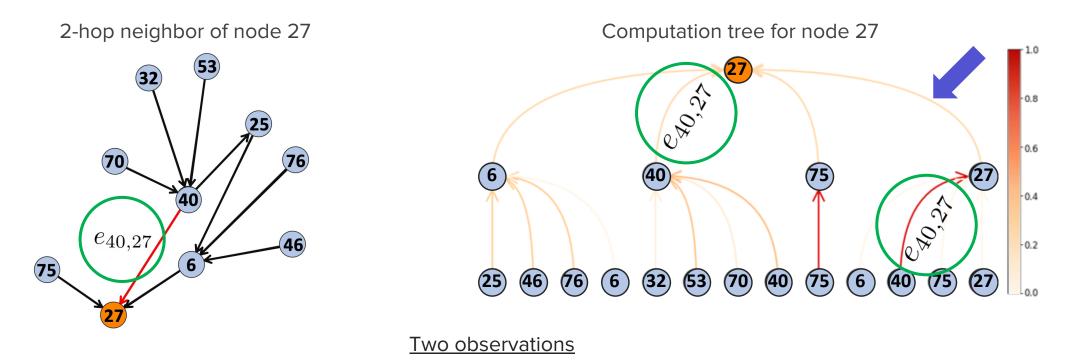
We found that attention weights reliably represent edge importance after post-calculations based on the computation tree.



Change in perspective: Attention matrix viewpoint - <u>Computation tree viewpoint</u>

We found that attention weights reliably represent edge importance after post-calculations based on the computation tree.

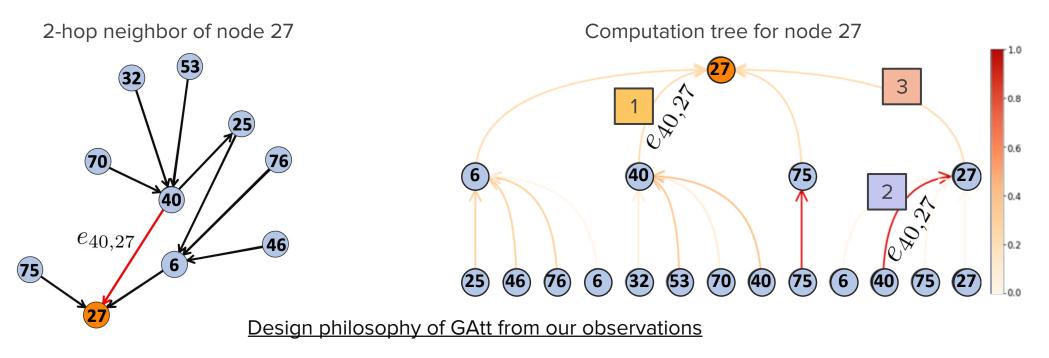
Two design principles: Summation and adjustment



- Proximity effect: Edges can appear multiple times, and (likely to be) related with proximity.
- Contribution adjustment: The contribution of an edge in the computation tree should be adjusted by its position.

We found that attention weights reliably represent edge importance after post-calculations based on the computation tree.

Two design principles: Summation and adjustment

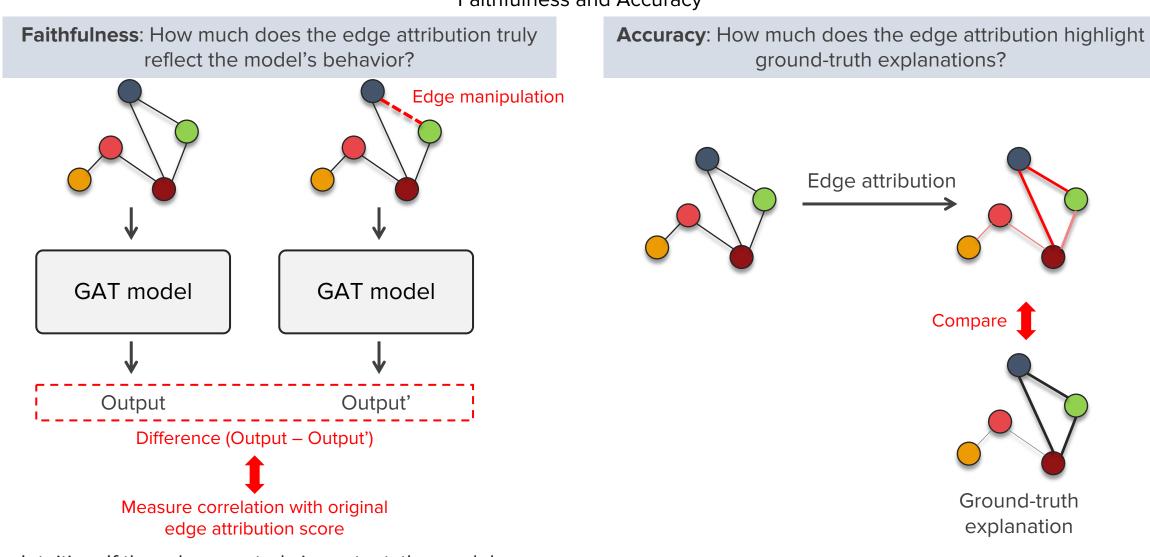


- Proximity effect: Need to sum all occurances of an edge! (Averaging will offset the number of appearances)
- Contribution adjustment: Each attention should be multiplied by all attention weights along the path towards the root.

Attribution of edge (40, 27) when target node is 27

37

Experimental results (Evaluation metrics)



Intuition: If the edge was truly important, the model should drastrically change its output when deleted.

Faithfulness and Accuracy

Experimental results (Faithfulness)

Faithfulness experiments on real-world datasets shows the superiority of our method

Dataset			2-layer GAT/GATv2		3-layer GAT/GATv2				
		GATT	AVGATT	Random	GATT	AVGATT	Random		
Cora	$\Delta_{ m PC}\ \Delta_{ m NE}\ \Delta_{ m P}$	0.8468/0.1040 0.7112/0.0930 0.9755/0.9623	0.1764/0.0121 0.1526/0.0100 0.7251/0.6226	-0.0056/-0.0036 -0.0076/0.0019 0.4389/0.4891	0.8642/0.1696 0.7690/0.1664 0.9875/0.9966	0.0967/0.0168 0.0859/0.0186 0.7075/0.8897	0.0045/0.0045 0.0040/0.0037 0.5235/0.6107		
Citeseer	$\Delta_{ m PC}\ \Delta_{ m NE}\ \Delta_{ m P}$	0.8516/0.0658 0.7653/0.0700 0.9846/0.9771	0.3096/0.0180 0.2780/0.0186 0.9213/0.9510	0.0012/-0.0043 0.0021/0.0019 0.3695/0.4258	0.8711/0.0432 0.8291/0.0551 0.9920/0.9961	0.2110/0.0107 0.2006/0.0140 0.8979/0.9692	-0.0073/-0.0034 0.0015/0.0025 0.4039/0.7569		
Pubmed	$\Delta_{ m PC}\ \Delta_{ m NE}\ \Delta_{ m P}$	0.8812/0.0631 0.8201/0.0915 0.9915/0.9972	0.1648/0.0126 0.1477/0.0169 0.8834/0.9361	-0.0064/0.0021 -0.0068/0.0078 0.3974/0.1327	0.8489/0.0367 0.8612/0.0484 0.9993/0.9996	0.0592/0.0023 0.0600/0.0028 0.8932/0.9153	0.0015/-0.0016 0.0009/-0.0015 0.5172/0.5242		
Arxiv	$\Delta_{ m PC}\ \Delta_{ m NE}\ \Delta_{ m P}$	0.7790/0.0546 0.8287/0.0164 0.9908/0.8995	0.0794/-0.0593 0.0804/-0.0390 0.8470/0.2560	0.0007/0.0028 0.0016/-0.0067 0.4962/0.5107	0.7721/0.0508 0.8282/-0.0012 0.9985/0.9366	0.0465/-0.0252 0.0478/-0.0216 0.8331/0.3934	-0.0004/-0.0003 -0.0017/ 0.0000 0.5004/0.5034		
Cornell	$\Delta_{ m PC}\ \Delta_{ m NE}\ \Delta_{ m P}$	0.8089/0.2660 0.7820/0.1526 0.9532/0.8372	0.3391/0.0209 0.3199/-0.0488 0.7416/0.5130	-0.0284/0.0421 -0.0231/0.0235 0.5074/0.5660	0.7173/0.0899 0.7160/0.0520 0.9270/0.6406	0.3065/-0.0512 0.3491/-0.0294 0.6907/0.3969	-0.0273/-0.0129 -0.0060/-0.0017 0.4787/0.4953		
Texas	$\Delta_{ m PC}\ \Delta_{ m NE}\ \Delta_{ m P}$	0.7818/0.0801 0.7977/0.1443 0.8726/0.7299	0.3676/-0.0406 0.3809/ 0.1478 0.6803/0.3669	-0.0762/0.0025 -0.0659/0.0145 0.4733/0.5198	0.6866/0.1504 0.6132/0.0896 0.9197/0.8195	0.2443/0.0486 0.1645/0.0579 0.7072/0.5565	0.0414/0.0040 0.0202/0.0149 0.5562/0.5426		
Wisconsin	$\Delta_{ m PC}\ \Delta_{ m NE}\ \Delta_{ m P}$	0.6898/0.1751 0.6421/0.1554 0.8985/0.8501	0.2649/0.0556 0.2340/0.0636 0.7067/0.6060	0.0596/0.0120 0.0414/0.0157 0.5427/0.5006	0.7616/0.0323 0.7409/0.0243 0.8982/0.7582	Higher the be 0.6906/0.3980	tter! 9/ 0.0407 -0.0010/0.0400 0.5119/0.5333		

- We compared our method against the naïve baseline where the attention marices are averaged across layers (i.e., AvgAtt, see left figure)
- All results show our method (GAtt) outperforms all baselines in all 7 datasets on GAT (Veličković et al., 2017), GATv2 (Brody et al., 2022), and SuperGAT (Kim et al., 2021) (shown in paper).

Veličković et al., "Graph Attention Networks", ICLR 2018

Brody et al., "How Attentive are Graph Attention Networks?", ICLR 2022

Kim et al., "How to Find Your Friendly Neighborhood: Graph Attention Design with Self-Supervision", ICLR 2021

Experimental results (Accuracy)

Accuracy experiments on real-world datasets shows the superiority of our method

		Naïve attention- based baseline			Although a different category, we expanded the list of baselines to include 7 other non-attention-based XAI methods							
Higher 1	the better!		Ours	↓				λ				
15	Model	Dataset	GATT	AVGATT	SA	GB	IG	GNNEx	PGEx	GM	FDnX	Random
	GAT	BA-Shapes Infection	<u>0.9591</u> 0.9976	0.7977 0.8786	0.9563 0.8237	0.6231 0.8949	0.6231 <u>0.9472</u>	0.8916 0.9272	0.8289 0.7173	0.5316 0.6859	0.9917 0.6574	0.4975 0.4811
	GATv2	BA-Shapes Infection	0.9617 0.8628	0.7876 0.4719	<u>0.9626</u> 0.7711	0.5260 0.7250	0.5232 0.7849	0.9318 0.7611	0.5000 <u>0.8178</u>	0.5123 0.5355	0.9923 0.5059	0.4976 0.5002

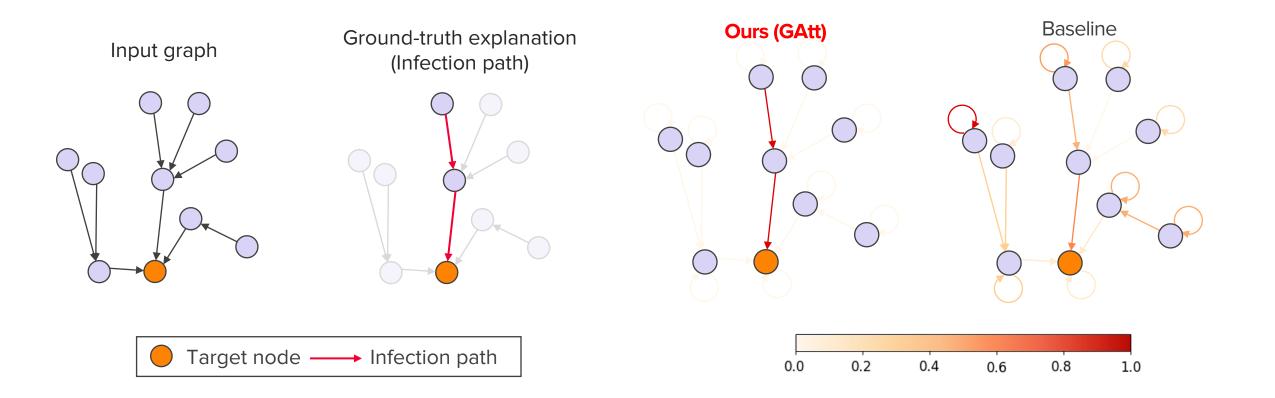
All results show our method (GAtt) outperforms all 9 baselines in terms of explanation accuracy.

Short description of other XAI methods

- SA (Saliency): Gradient-based explanation (Simonyan et al., 2014)
- GB (Guided Backpropagation): Propagate output signals back to the input according to model activations (Springenberg et al., 2015)
- IG (Integrated Gradients): Numerical integration of gradients from a baseline to the actual input (Sundararajen et al., 2017)
- GNNEx (GNNExplainer): Optimize edge masks using a mutual-information based loss function with gradient descent (Ying et al., 2019)
- PGEx (PGExplainer): Train a neural network using the loss function from GNNExplainer (Luo et al., 2020)
- GM (GraphMask): Train a classifier that masks certain messages in the GNN that does not change the output (Schlichtkrull et al., 2021)
- FDnX (FastDnX): Train a simpler surrogate GNN, and use that GNN for explanation (Pereira et al., 2021)

Experimental results (Visualizations)

Case study reveals the model highlights ground-truth explanations when using GAtt (Infection dataset)



Takeaways

- 1. Understanding explainable Al
 - 1. Why? Black-box nature, serious application, model debugging
 - 2. Types of XAI: Attribution is the basic form of explanation (with a touch of Mech. Interp. + Shapley)
- 2. Extension to graph learning: The basic concepts can naturally be extended to graphs
- 3. Subtopic: Can we explain GNNs with attention? (Yes, but with some additional effort of course)

Thank you!

Please feel free to ask any questions :) *jordan7186.github.io*